



Effective dynamics for elastic waves in an array of non-linear interfaces

Cédric Bellis¹

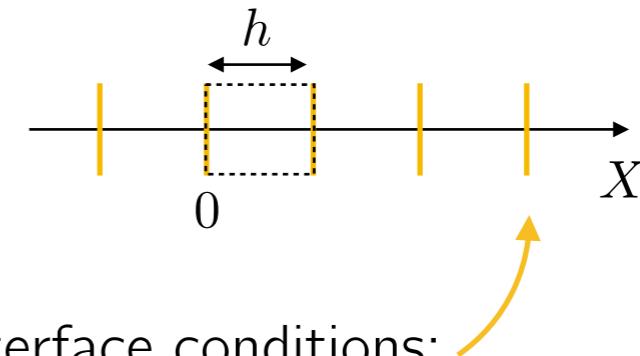
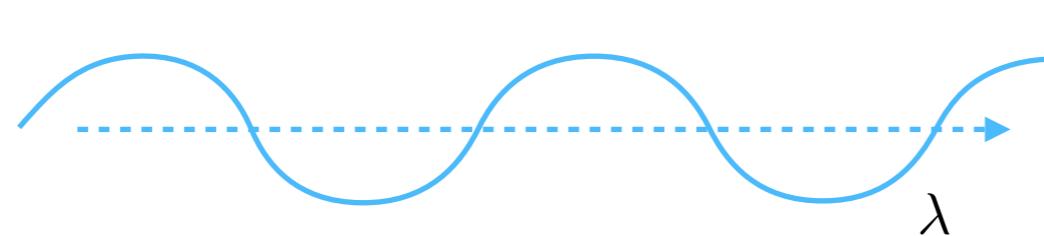
jointly with Bruno Lombard¹, Marie Touboul^{1,2}, Raphaël Assier²

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Microstructured problem

► 1D array of non-linear imperfect interfaces



- wave equation:

$$\left\{ \rho_h(X) \frac{\partial^2 U_h}{\partial t^2}(X, t) = \frac{\partial \Sigma_h}{\partial X}(X, t) + F(X, t) \right.$$

- linear stress-strain relation:

$$\Sigma_h(X, t) = E_h(X) \frac{\partial U_h}{\partial X}(X, t)$$

- interface conditions:

$$\begin{cases} M \left\langle \left\langle \frac{\partial^2 U_h}{\partial t^2}(\cdot, t) \right\rangle \right\rangle_{X_n} = [\![\Sigma_h(\cdot, t)]\!]_{X_n} \\ \langle \langle \Sigma_h(\cdot, t) \rangle \rangle_{X_n} = K \mathcal{R} ([\![U_h(\cdot, t)]\!]_{X_n}) \end{cases}$$

M, K : interface mass and stiffness

from phenomenological models or homogenization of interphases

► jump and mean operators: $[\![g]\!]_{X_n} = g(X_n^+) - g(X_n^-)$ and $\langle \langle g \rangle \rangle_{X_n} = \frac{1}{2}(g(X_n^+) + g(X_n^-))$

► Specific setting: $\left\{ \begin{array}{l} \text{- long-wavelength regime } h \ll \lambda \\ \text{- non-linear interface behavior } \mathcal{R} \end{array} \right.$

► Objective: effective dynamical model at the 1st-order, i.e. approximation $U_h(X, t) = U^{(1)}(X, t) + o(h)$

Constitutive interface law

► Constitutive assumptions:

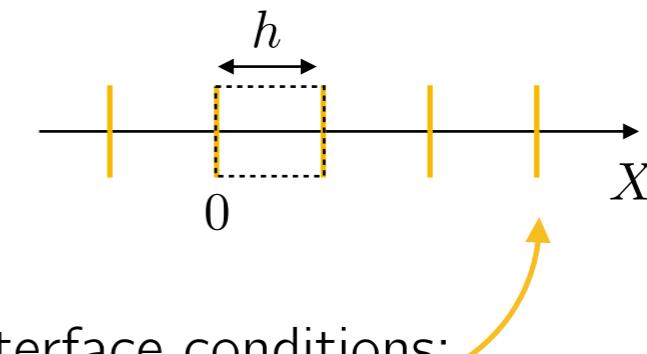
1) $M \geq 0$ and $K > 0$

2) $\mathcal{R} : (-d, +\infty) \rightarrow \mathbb{R}$ smooth

maximum compressibility length: $d \in \mathbb{R}_+ \cup \{+\infty\}$

technical assumptions: $\mathcal{R}(0) = 0$, $\mathcal{R}' > 0$

$\mathcal{R}'' < 0$ or $\mathcal{R}'' = 0$



- interface conditions:

$$\begin{cases} M \left\langle\!\left\langle \frac{\partial^2 U_h}{\partial t^2}(\cdot, t) \right\rangle\!\right\rangle_{X_n} = [\![\Sigma_h(\cdot, t)]\!]_{X_n} \\ \langle\!\langle \Sigma_h(\cdot, t) \rangle\!\rangle_{X_n} = K \mathcal{R}([\![U_h(\cdot, t)]\!]_{X_n}) \end{cases}$$

► Phenomenological models

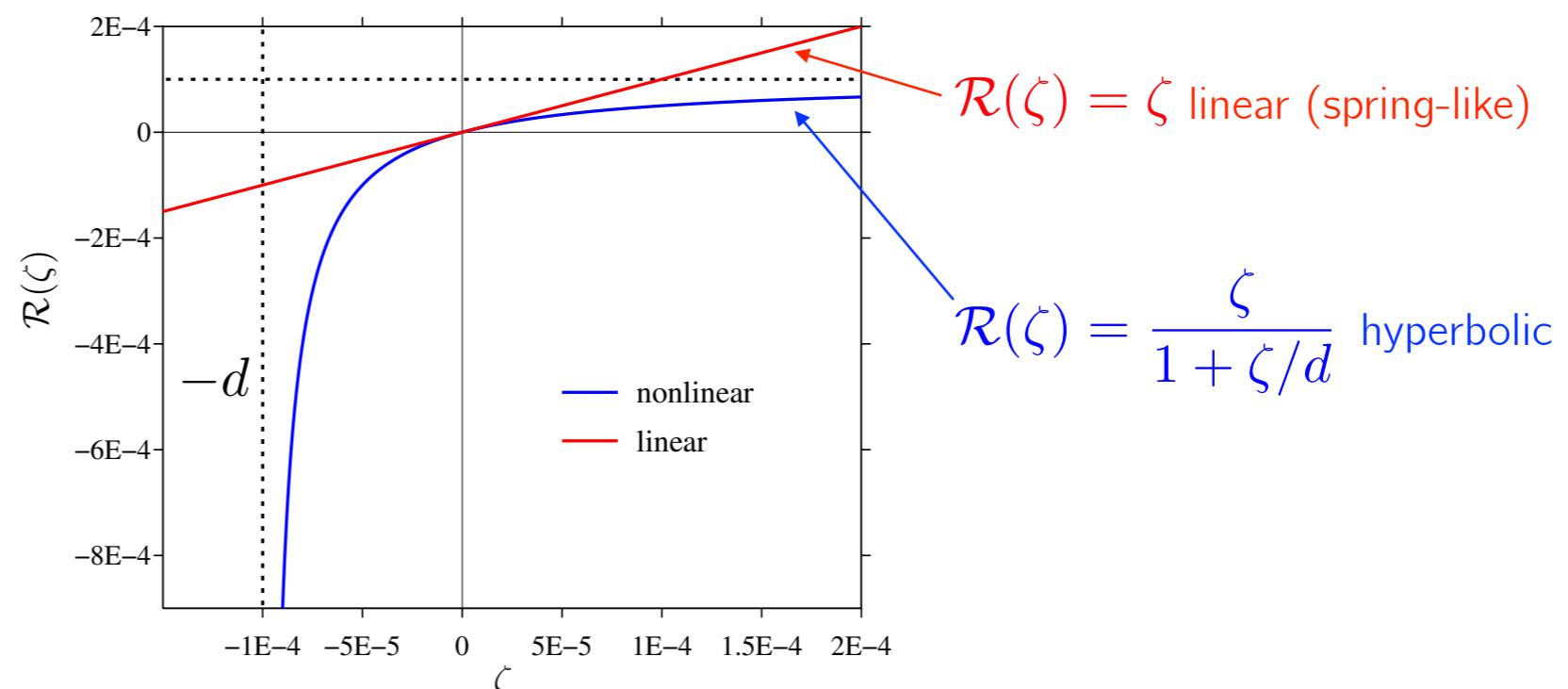
[Achenbach, Norris, Bandis,
Sevostianov, Broda, ...]

M, K : interface mass and stiffness

► Examples:

model degenerates to

- perfect transmission cond.
- linear law
- unilateral contact
- ...



Microstructure behavior

► For a **single** interface:

- existence and uniqueness of a solution to elastodyn. equations [Junca, Lombard, 2009]
- generation of harmonics
- amplitude of harmonics increases with source amplitude and non-monotonic behavior w.r.t. freq.

► Energy analysis in array: $\mathcal{E}_h = \mathcal{E}_h^m + \mathcal{E}_h^i$ such that $\frac{d}{dt}\mathcal{E}_h = 0$ without source

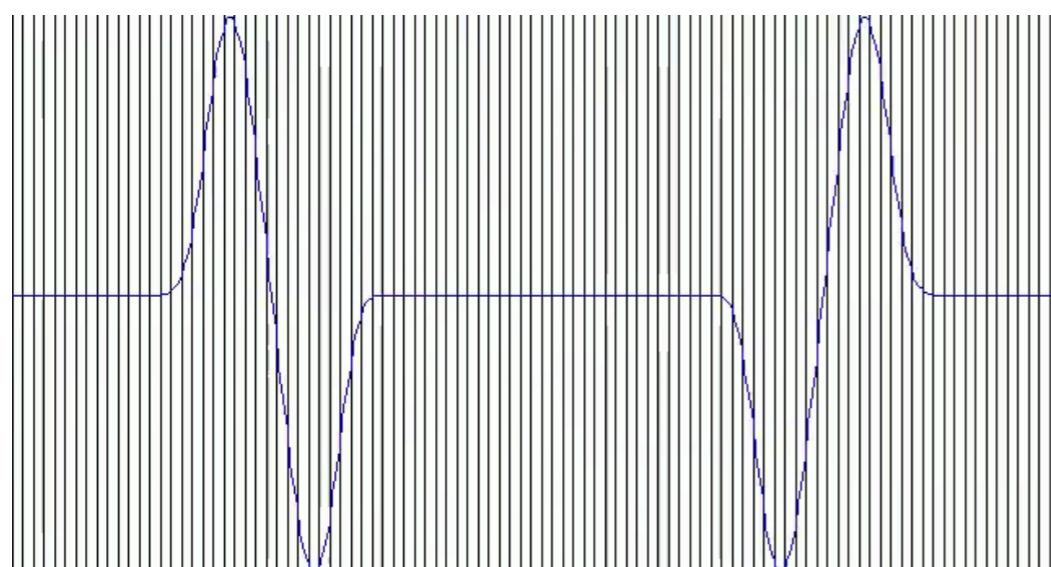
medium mech. energy

interface energy

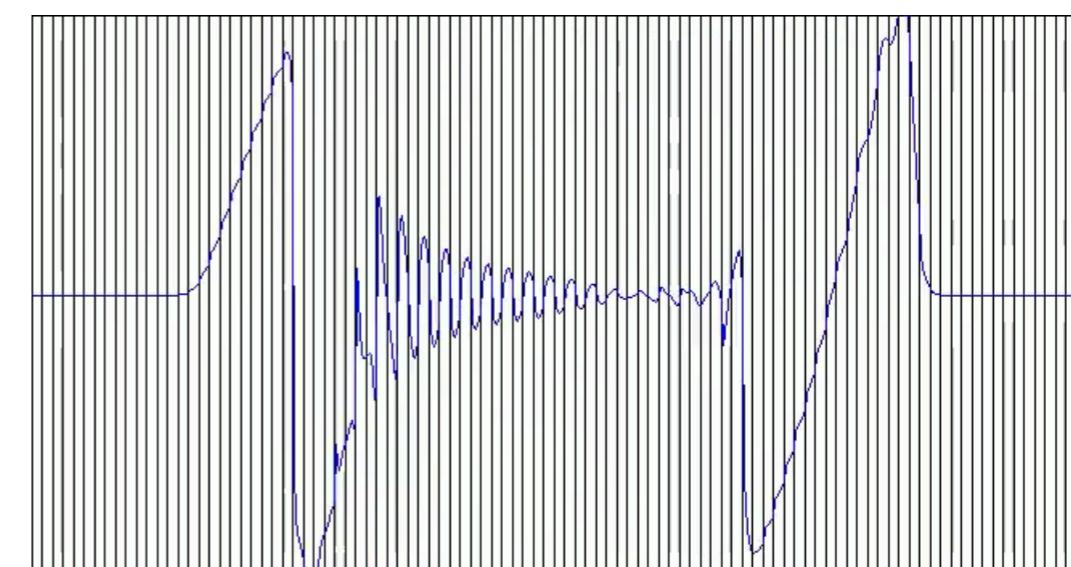
$$\mathcal{E}_h^m(t) = \frac{1}{2} \int_I \left\{ \rho_h(X) V_h(X, t)^2 + \frac{1}{E_h(X)} \Sigma_h(X, t)^2 \right\} dX$$
$$\mathcal{E}_h^i(t) = \sum_{X_n^I} \left\{ \frac{1}{2} M \langle\langle V_h(\cdot, t) \rangle\rangle_{X_n^I}^2 + K \int_0^{\mathcal{R}^{-1}(\langle\langle \Sigma_h(\cdot, t) \rangle\rangle_{X_n^I}/K)} \mathcal{R}(\zeta) d\zeta \right\}$$

► Numerical simulation: source with *fixed* central frequency and amplitude A

$A = 0.1$



$A = 120$



Microstructure behavior

► For a **single** interface:

- existence and uniqueness of a solution to elastodyn. equations [Junca, Lombard, 2009]
- generation of harmonics
- amplitude of harmonics increases with source amplitude and non-monotonic behavior w.r.t. freq.

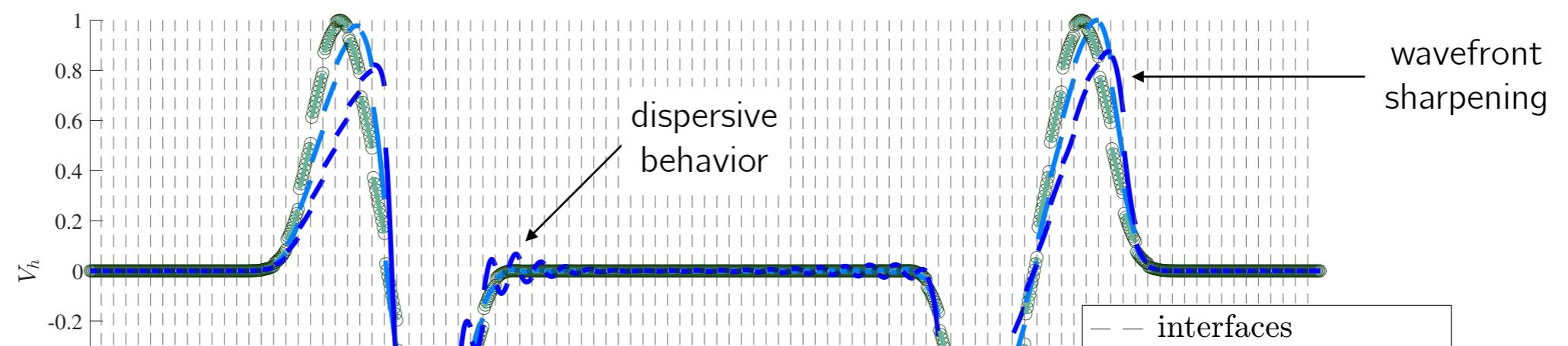
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medium mech. energy interface energy

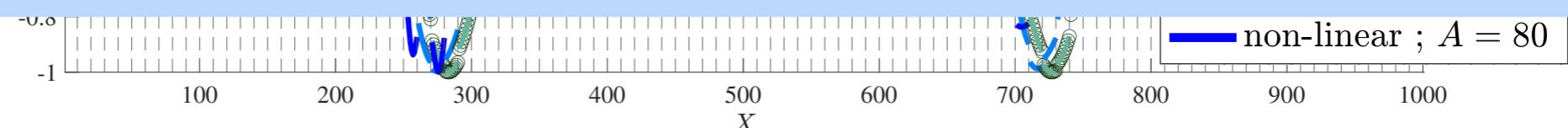
$$\mathcal{E}_h^m(t) = \frac{1}{2} \int_I \left\{ \rho_h(X) V_h(X, t)^2 + \frac{1}{E_h(X)} \Sigma_h(X, t)^2 \right\} dX$$

$$\mathcal{E}_h^i(t) = \sum_{X_n^I} \left\{ \frac{1}{2} M \langle\langle V_h(\cdot, t) \rangle\rangle_{X_n^I}^2 + K \int_0^{\mathcal{R}^{-1}(\langle\langle \Sigma_h(\cdot, t) \rangle\rangle_{X_n^I} / K)} \mathcal{R}(\zeta) d\zeta \right\}$$

► Numerical simulation: source with *fixed* central frequency and *amplitude A*

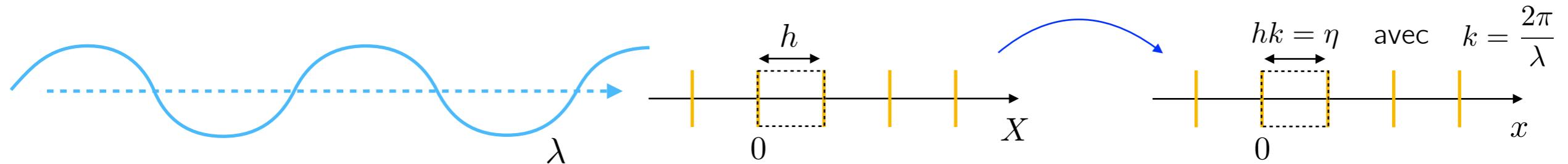


→ 1st-order approximation in the case of *small* source amplitudes and at (*short*) finite times



Two-scale analysis

- Non-dimensionalization of governing equations: $(X, t) \rightarrow (x, \tau)$



- wave equation: $\alpha \left(\frac{x}{\eta} \right) \frac{\partial^2 u_\eta}{\partial \tau^2}(\textcolor{teal}{x}, \tau) = \frac{\partial}{\partial x} \left(\beta \left(\frac{x}{\eta} \right) \frac{\partial u_\eta}{\partial x}(\textcolor{teal}{x}, \tau) \right) + f(\textcolor{teal}{x}, \tau)$

- interface conditions:

$$\begin{cases} m \eta \left\langle \left\langle \frac{\partial^2 u_\eta}{\partial \tau^2}(\cdot, \tau) \right\rangle \right\rangle_{\textcolor{teal}{x}_n} = \llbracket \beta \frac{\partial u_\eta}{\partial x}(\cdot, \tau) \rrbracket_{\textcolor{teal}{x}_n} \\ \left\langle \left\langle \beta \frac{\partial u_\eta}{\partial x}(\cdot, \tau) \right\rangle \right\rangle_{\textcolor{teal}{x}_n} = \frac{\kappa}{h} \mathcal{R} \left(\frac{h}{\eta} \llbracket u_\eta(\cdot, \tau) \rrbracket_{\textcolor{teal}{x}_n} \right) \end{cases} \quad \xrightarrow{\text{contributions in } \eta}$$

- Formal asymptotic expansion: $u_\eta(\textcolor{teal}{x}, \tau) = u_0(\textcolor{teal}{x}, \tau) + \sum_{j \geq 1} \eta^j u_j(\textcolor{teal}{x}, \textcolor{red}{x}/\eta, \tau)$ with fast variable $y = x/\eta$



Specificities of the setting considered:

- smooth interface law:** Taylor exp. $\mathcal{R} \left(\frac{h}{\eta} \llbracket u_\eta \rrbracket_{y_n} \right) = \sum_{\ell \geq 0} \frac{(h\eta)^\ell}{\ell!} \left(\sum_{j \geq 2} \eta^{j-2} \llbracket u_j(x, \cdot, \tau) \rrbracket_{y_n} \right)^\ell \mathcal{R}^{(\ell)} \left(h \llbracket u_1(x, \cdot, \tau) \rrbracket_{y_n} \right)$
- 1D magic:** direct integration possible and $\left\langle \frac{dg}{dy} \right\rangle = \int_0^1 \frac{dg}{dy}(y) dy = - \llbracket g \rrbracket$

Microstructured field approximation

→ 1st-order approximation: $U_h(X, t) = U_0(X, t) + h U_1(X, t) + o(h)$

► Zeroth-order field U_0 :

- continuous in X

- solution of: $\rho_{\text{eff}} \frac{\partial^2 U_0}{\partial t^2}(X, t) = \frac{\partial \Sigma_0}{\partial X}(X, t) + F(X, t)$

effective mass density

$$\rho_{\text{eff}} = \left(\langle \rho \rangle + \frac{M}{h} \right)$$

local and non-linear stress-strain relation $\Sigma_0 = \mathcal{G}_{\text{eff}}(\mathcal{E}_0)$

$$\left\langle \frac{1}{E} \right\rangle \Sigma_0(X, t) + \frac{1}{h} \mathcal{R}^{-1} \left(\frac{1}{K} \Sigma_0(X, t) \right) = \mathcal{E}_0(X, t)$$

$$\text{where } \mathcal{E}_0 = \partial U_0 / \partial X$$

► Remarks:

- in the case of linear interfaces, i.e. $\mathcal{R}(\zeta) = \zeta$

$$\mathcal{G}_{\text{eff}}(\mathcal{E}_0) = \mathcal{C}_{\text{eff}}^\ell \mathcal{E}_0 \quad \text{with} \quad \mathcal{C}_{\text{eff}}^\ell = \left(\left\langle \frac{1}{E} \right\rangle + \frac{1}{Kh} \right)^{-1}$$

- in the case of perfect interfaces

$$\rho_{\text{eff}} \sim \langle \rho \rangle \quad \text{and} \quad \mathcal{C}_{\text{eff}}^\ell \sim \langle 1/E \rangle^{-1}$$

Microstructured field approximation

→ 1st-order approximation: $U_h(X, t) = U_0(X, t) + h U_1(X, t) + o(h)$

► Zeroth-order field U_0 :

- continuous in X

- solution of: $\rho_{\text{eff}} \frac{\partial^2 U_0}{\partial t^2}(X, t) = \frac{\partial \Sigma_0}{\partial X}(X, t) + F(X, t)$

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where $\mathcal{E}_0 = \partial U_0 / \partial X$

► Rewriting as a non-linear first-order system:

$$\frac{\partial}{\partial t} \Psi_0(X, t) + \frac{\partial}{\partial X} \left(\mathbb{G}_{\text{eff}}(\Psi_0(X, t)) \right) = \mathbb{F}(X, t) \quad \text{with} \quad \Psi_0 = (\mathcal{E}_0, V_0)^{\top}$$

- strictly hyperbolic system (owing to technical assumptions on \mathcal{R})

- characteristic speeds (possibly strain dependent) $\nu_{\pm}(\mathcal{E}_0) = \pm \sqrt{\frac{1}{\rho_{\text{eff}}} \frac{\partial \Sigma_0}{\partial \mathcal{E}_0}}$

Microstructured field approximation

→ 1st-order approximation: $U_h(X, t) = U_0(X, t) + h U_1(X, t) + o(h)$

► Zeroth-order field U_0 :

- continuous in X

- solution of: $\rho_{\text{eff}} \frac{\partial^2 U_0}{\partial t^2}(X, t) = \frac{\partial \Sigma_0}{\partial X}(X, t) + F(X, t)$

effective mass density

$$\rho_{\text{eff}} = \left(\langle \rho \rangle + \frac{M}{h} \right)$$

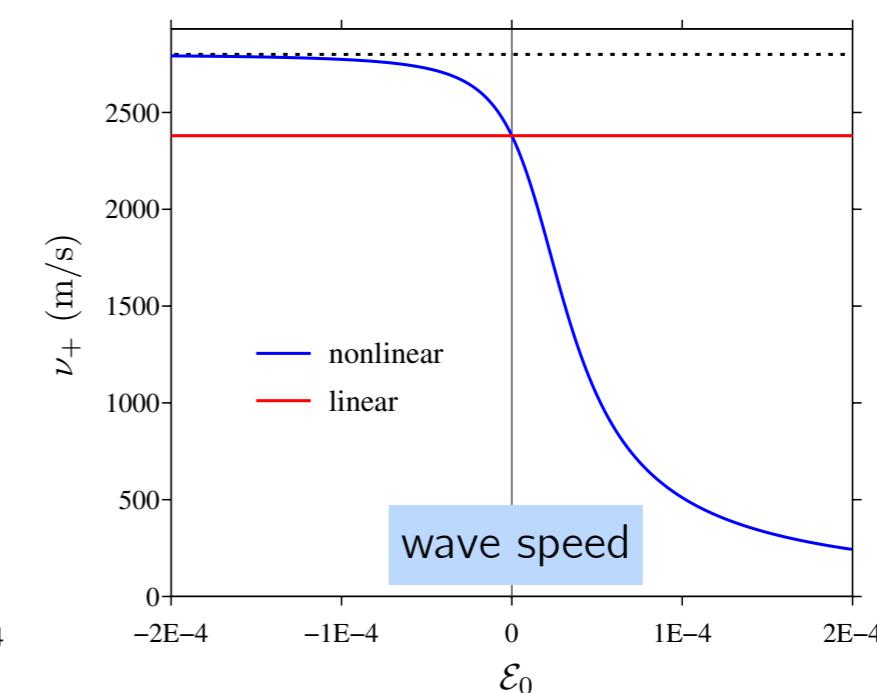
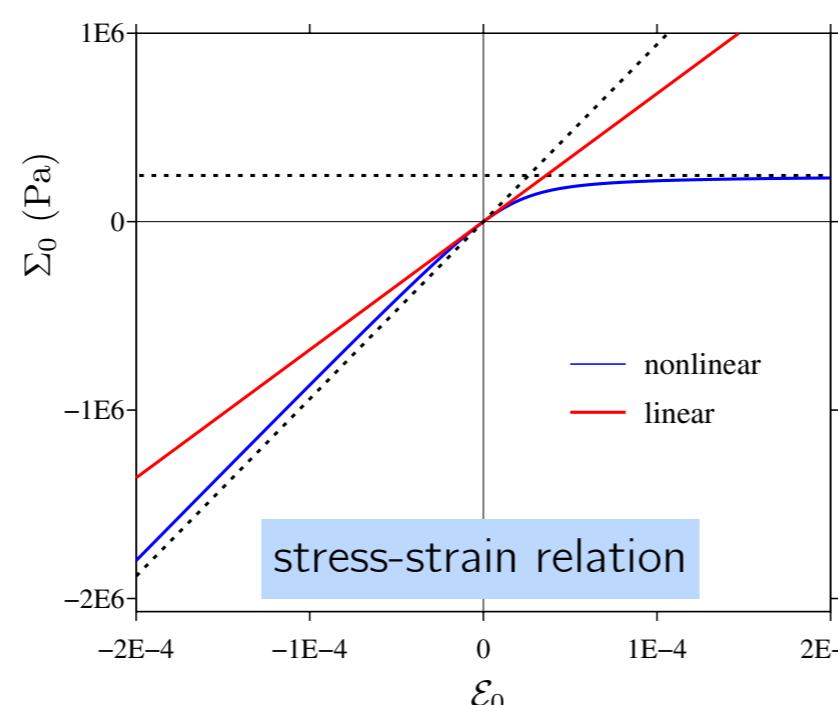
local and non-linear stress-strain relation $\Sigma_0 = \mathcal{G}_{\text{eff}}(\mathcal{E}_0)$

$$\left\langle \frac{1}{E} \right\rangle \Sigma_0(X, t) + \frac{1}{h} \mathcal{R}^{-1} \left(\frac{1}{K} \Sigma_0(X, t) \right) = \mathcal{E}_0(X, t)$$

► Examples:

$$\mathcal{R}(\zeta) = \zeta$$

$$\mathcal{R}(\zeta) = \frac{\zeta}{1 + \zeta/d}$$



Microstructured field approximation

→ 1st-order approximation: $U_h(X, t) = U_0(X, t) + h \mathbf{U}_1(X, t) + o(h)$

► Zeroth-order field U_0 :

$$* \quad \frac{\partial}{\partial t} \Psi_0(X, t) + \frac{\partial}{\partial X} \left(\mathbb{G}_{\text{eff}}(\Psi_0(X, t)) \right) = \mathbb{F}(X, t) \quad \text{with} \quad \Psi_0 = (\mathcal{E}_0, V_0)^T$$

► Energy analysis:

- recall $\frac{d}{dt} \mathcal{E}_h = 0$ in microstructured medium

- here: $\mathcal{E}_0 \stackrel{(\#)}{=} \mathcal{E}_0^m \int_I \left\{ \frac{1}{2} \rho_{\text{eff}} V_0^2 + \mathcal{E}_{\text{eff}} \right\} dX$ such that \mathcal{E}_{eff} is *sufficiently smooth*. It holds $\frac{d}{dt} \mathcal{E}_0 = 0$ without source

$$\text{bulk energy } \mathcal{E}_0^m(t) = \frac{1}{2} \int_I \left\{ \langle \rho \rangle V_0^2 + \left\langle \frac{1}{E} \right\rangle \Sigma_0^2 \right\} dX$$

$$\text{interface energy } \mathcal{E}_0^i(t) = \frac{1}{h} \int_I \left\{ \frac{1}{2} M V_0^2 + K \int_0^{\mathcal{R}^{-1}(\Sigma_0/K)} \mathcal{R}(\zeta) d\zeta \right\} dX$$

 * formally analogous to so-called *p-system* in gas dynamics → [Lax, Dafermos, ...]

formation of shocks
in finite time t^*
 $\frac{d}{dt} \mathcal{E}_0 \leq 0$ for $t \geq t^*$

for linearized stress-strain relation $\Sigma_0 \underset{\mathcal{E}_0 \rightarrow 0}{\sim} \mathcal{C}_{\text{eff}}^\ell \mathcal{E}_0 (1 - \gamma \mathcal{E}_0) + o(\mathcal{E}_0^2)$
time-harmonic forcing at ω_c and amplitude \mathcal{E}_{\max}

$$\Rightarrow t^* \propto \frac{1}{\mathcal{E}_{\max} \gamma \omega_c}$$

Microstructured field approximation

→ 1st-order approximation: $U_h(X, t) = U_0(X, t) + h U_1(X, t) + o(h)$

► First-order field U_1 (corrector):

- decomposed as $U_1(X, t) = \overline{U}_1(X, t) + \mathcal{P}(y, \mathcal{E}_0(X, t)) \mathcal{E}_0(X, t)$

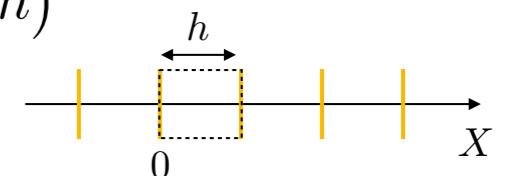
The diagram shows the equation $U_1(X, t) = \overline{U}_1(X, t) + \mathcal{P}(y, \mathcal{E}_0(X, t)) \mathcal{E}_0(X, t)$. Two arrows point from the terms $\overline{U}_1(X, t)$ and $\mathcal{P}(y, \mathcal{E}_0(X, t)) \mathcal{E}_0(X, t)$ to the labels "mean field" and "cell-function" respectively, written in red and purple text below the equation.

- ▶ Mean field: solution of linear and heterogeneous problem

$$*\rho_{\text{eff}} \frac{\partial^2 \overline{U}_1}{\partial t^2}(X,t) = \frac{\partial \overline{\Sigma}_1}{\partial X}(X,t) + \mathcal{S}(U_0(X,t)) \quad \text{with} \quad \overline{\Sigma}_1(X,t) = \mathcal{G}'_{\text{eff}}(\mathcal{E}_0(X,t)) \frac{\partial \overline{U}_1}{\partial X}(X,t)$$

source term and stiffness (may) depend non-linearly on U_0

► **Cell-function:** $\mathcal{P}(y, \mathcal{E}_0(X, t))$ where $y = (X - nh)/h$ for $X \in (nh, (n+1)h)$



- **Remarks:**
 - problem $*$ is well-posed (owing to technical assumptions on \mathcal{R})
 - in the case of linear interfaces: $\mathcal{G}'_{\text{eff}}(\mathcal{E}_0(X, t)) = \mathcal{C}_{\text{eff}}^\ell$; $\mathcal{S}(U_0(X, t)) = 0$; $\mathcal{P} \equiv \mathcal{P}(y)$
 - rewriting of $*$ as a linear and strictly hyperbolic system with charac. speeds $\nu_+(\mathcal{E}_0)$

Numerical results

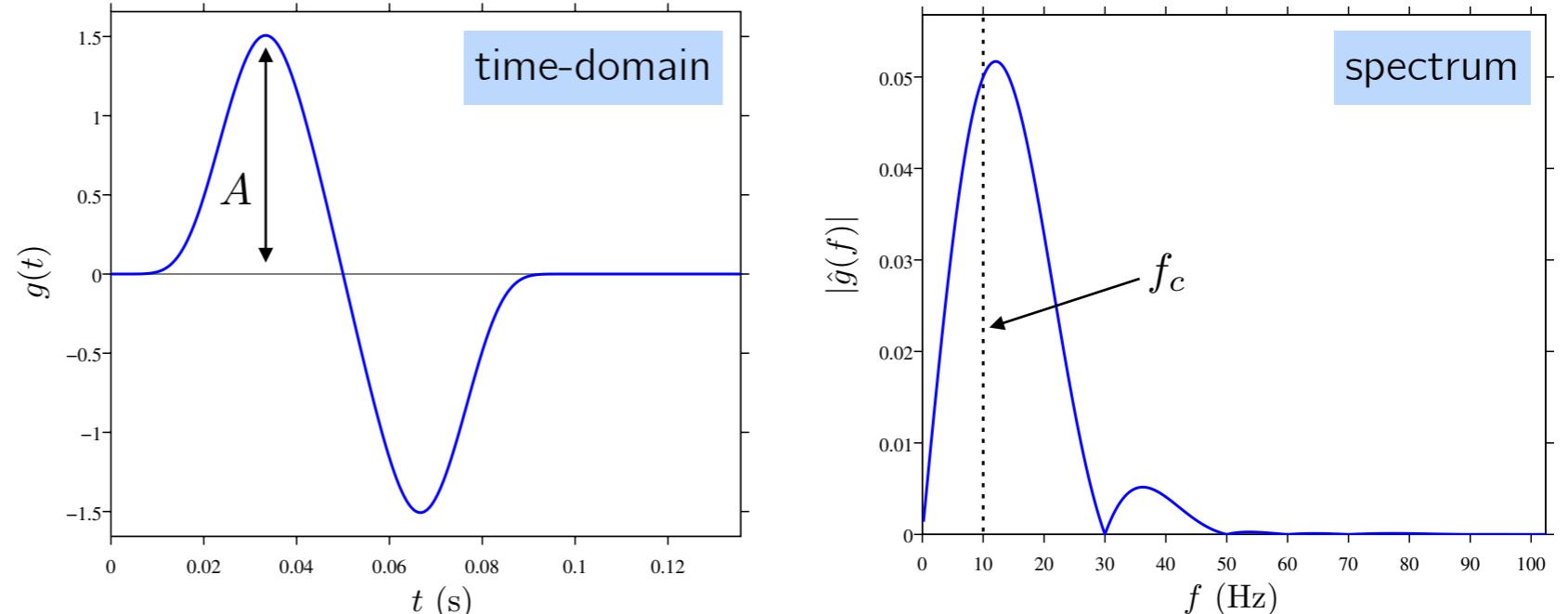
→ Objectives: comparisons of full-field simulations (velocity-based) V_h with homogenized solutions, i.e. V_0 and $V^{(1)}(X, t) = V_0(X, t) + hV_1(X, t)$

- ▶ Numerical scheme:
 - finite-volume scheme [LeVeque, 2002] to capture sharp wavefronts and shocks
 - hyperbolic systems solved sequentially
 - adjusted CFL condition $\max_{X_j} \nu_+(\mathcal{E}_0(X_j, t_n)) \frac{\Delta t_n}{\Delta X} \leq 1$

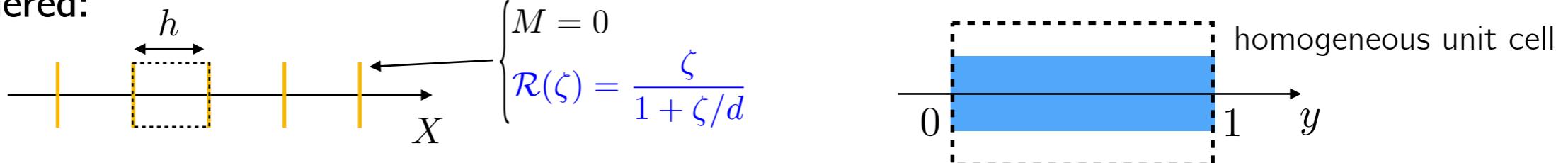
▶ Excitation:

$$F(X, t) = \delta(X - X_s) g(t)$$

with time-domain signal $g(t)$

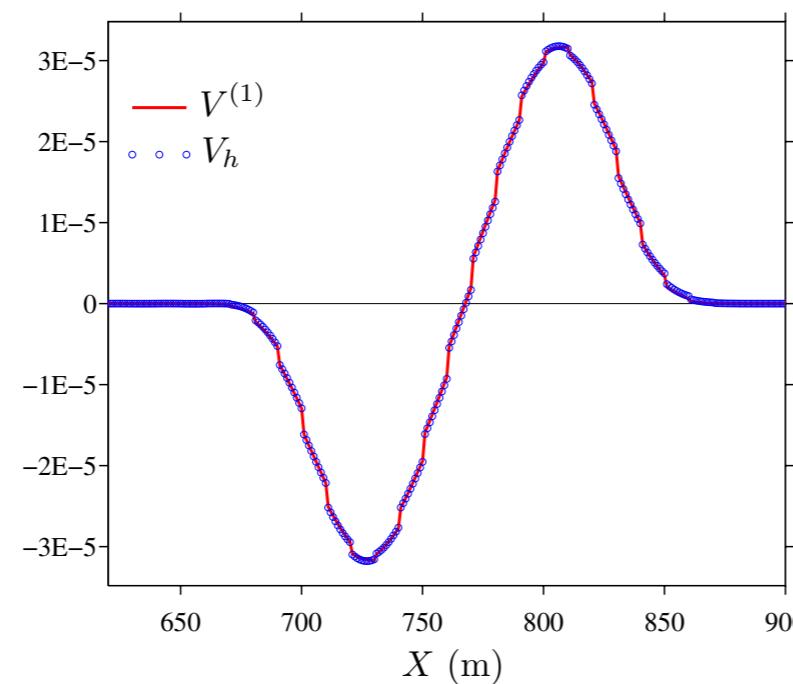
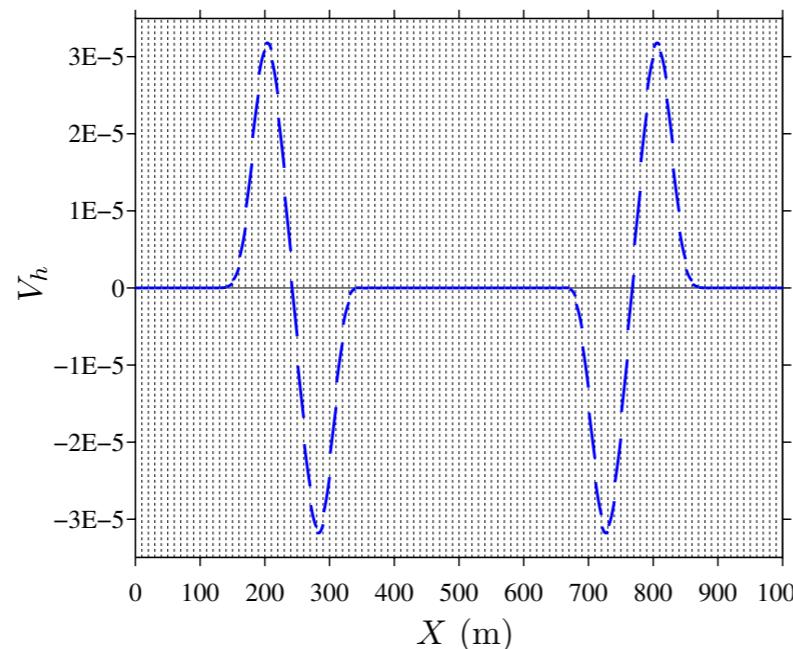


▶ Medium considered:



Numerical results: *agreements*

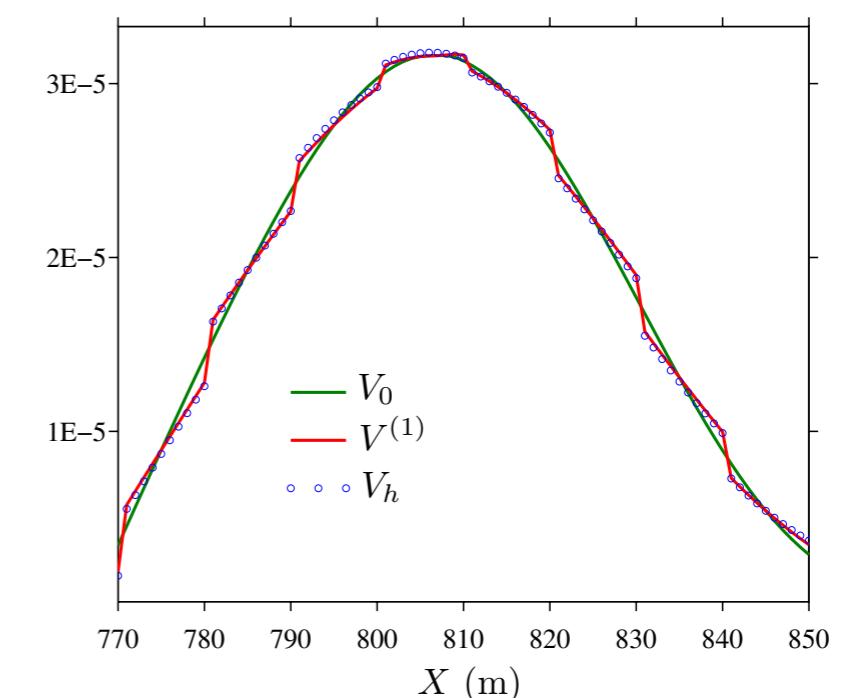
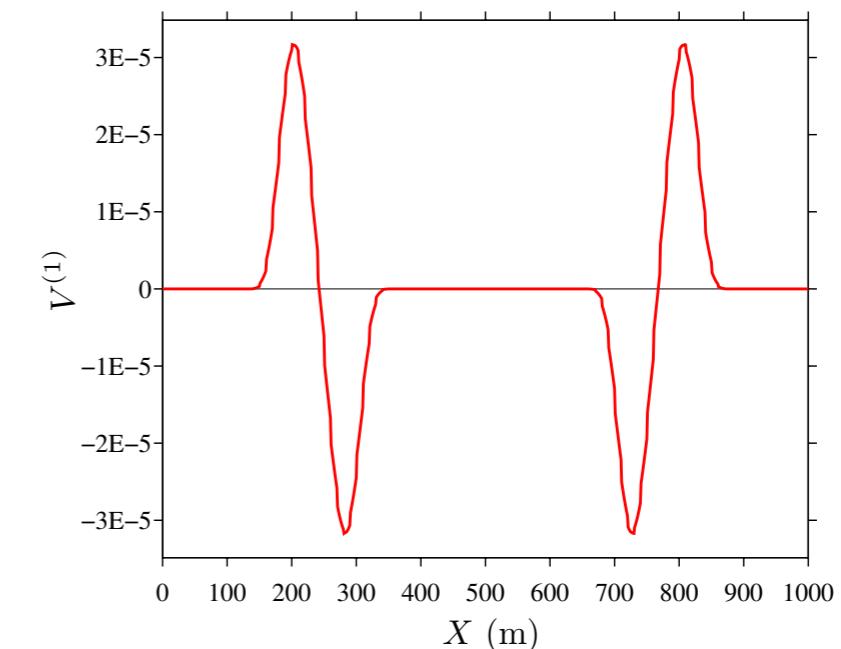
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Excitation:

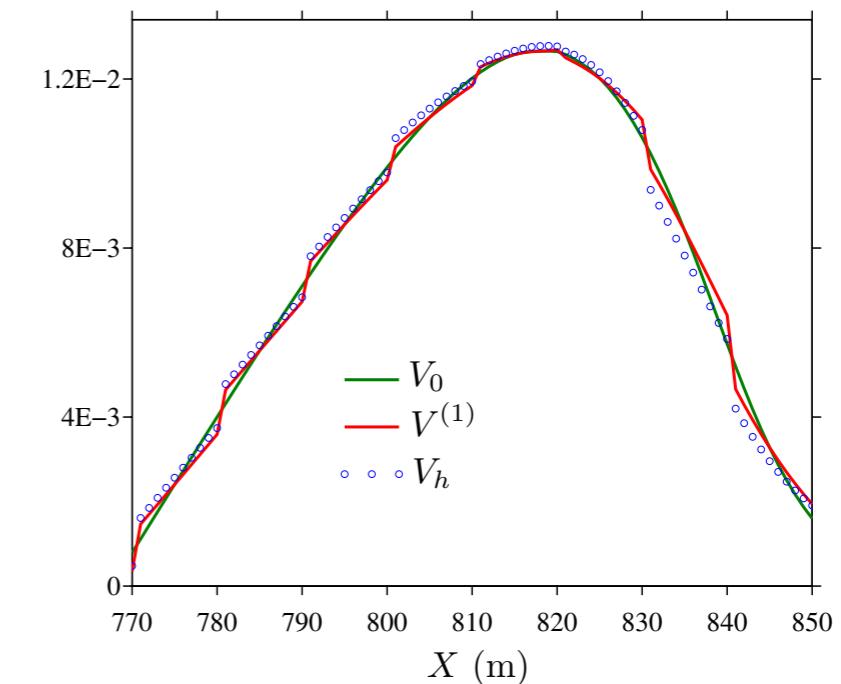
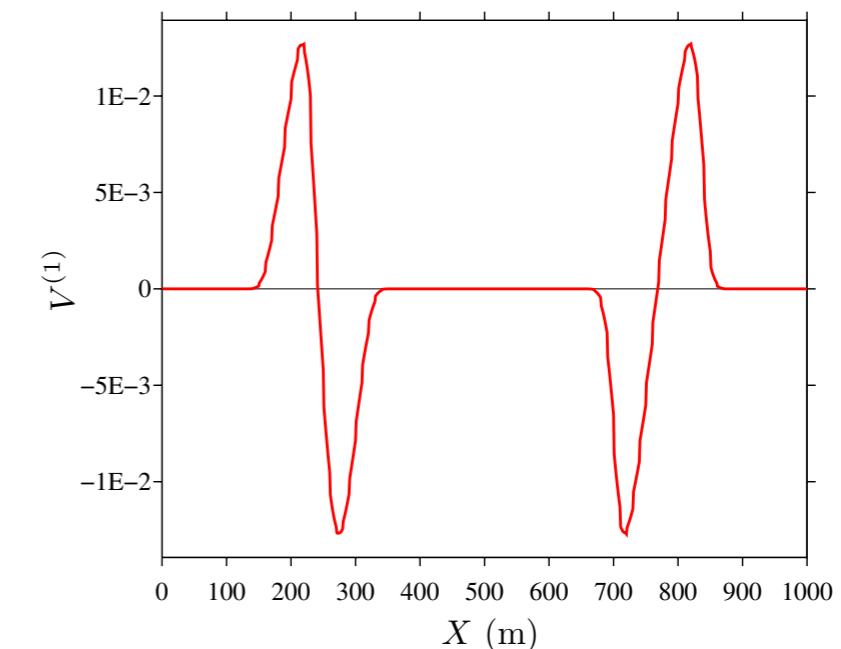
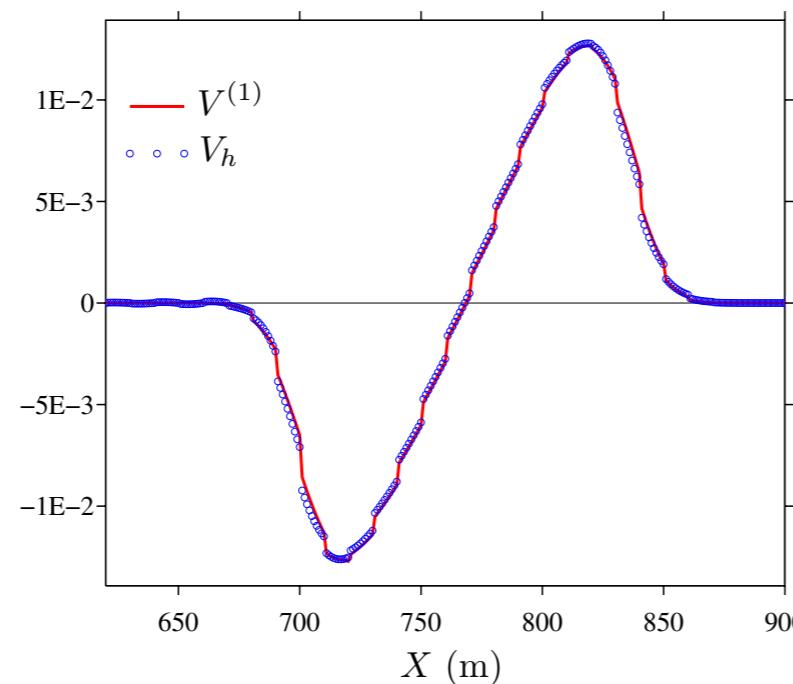
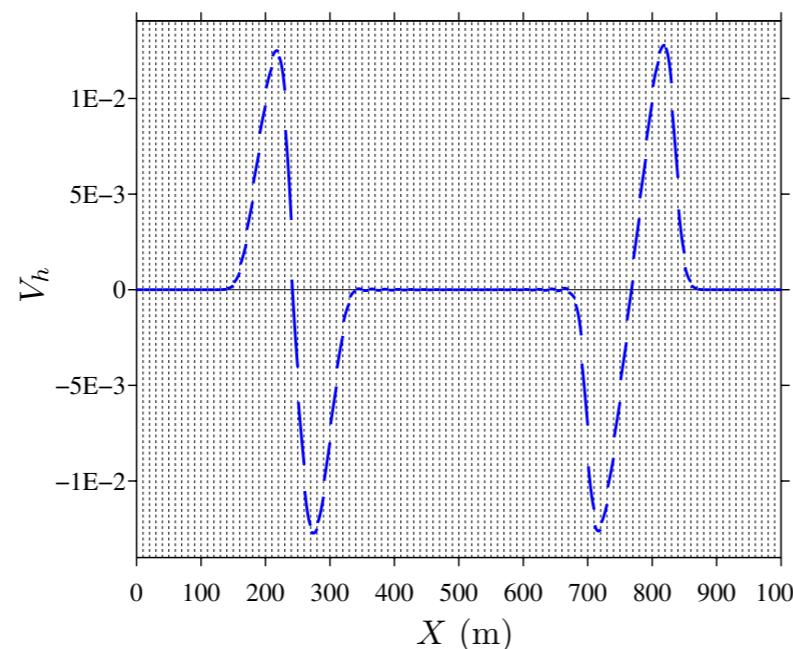
$$\begin{cases} f_c = 10 \text{ Hz} \\ A = 0.1 \end{cases}$$

$$\eta = 0.26$$



Numerical results: *agreements*

→ Objectives: comparisons of full-field simulations (velocity-based) V_h with homogenized solutions, i.e. V_0 and $V^{(1)}(X, t) = V_0(X, t) + hV_1(X, t)$



► Excitation:

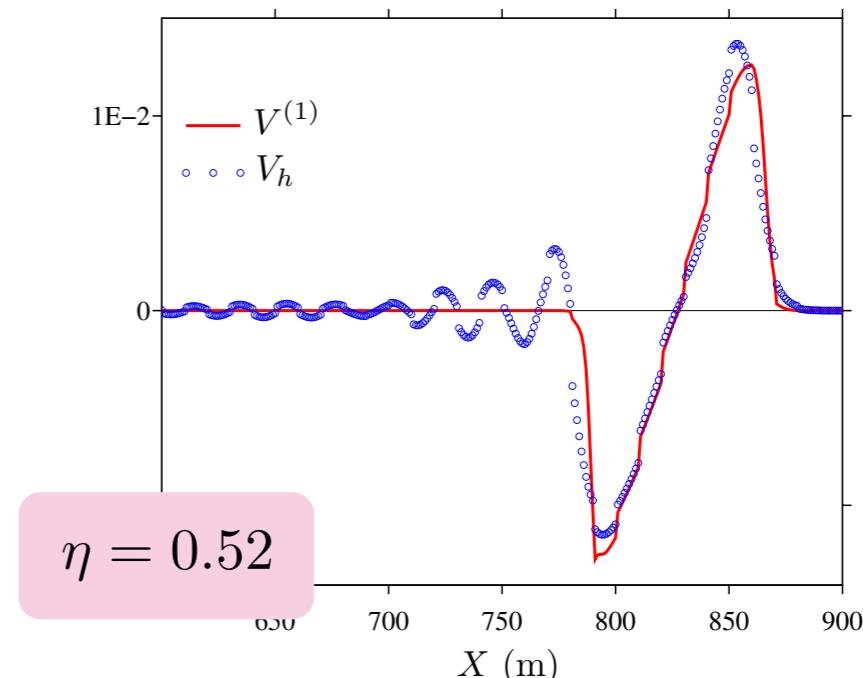
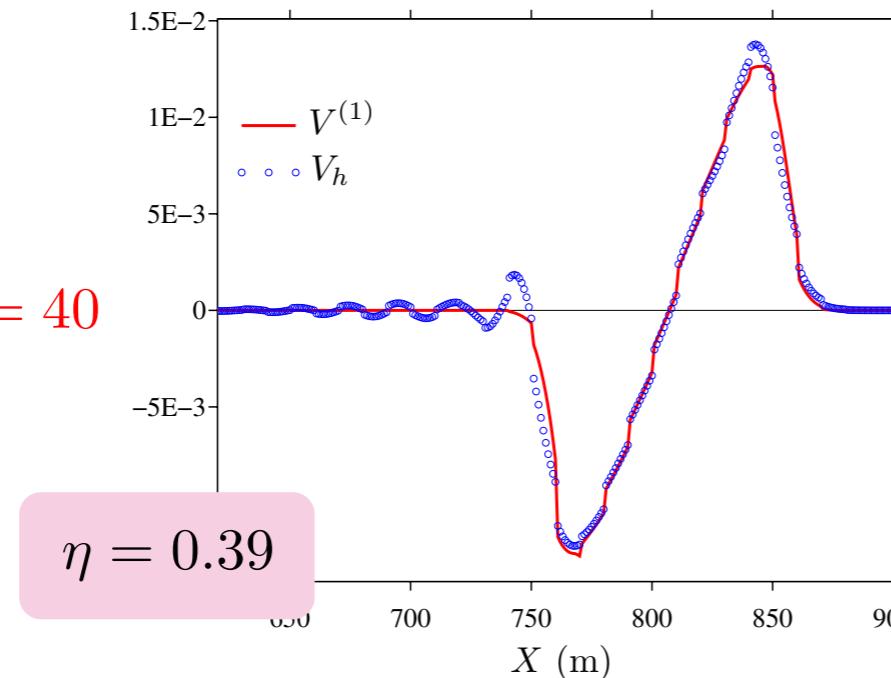
$$\begin{cases} f_c = 10 \text{ Hz} \\ A = 40 \end{cases}$$

$$\eta = 0.26$$

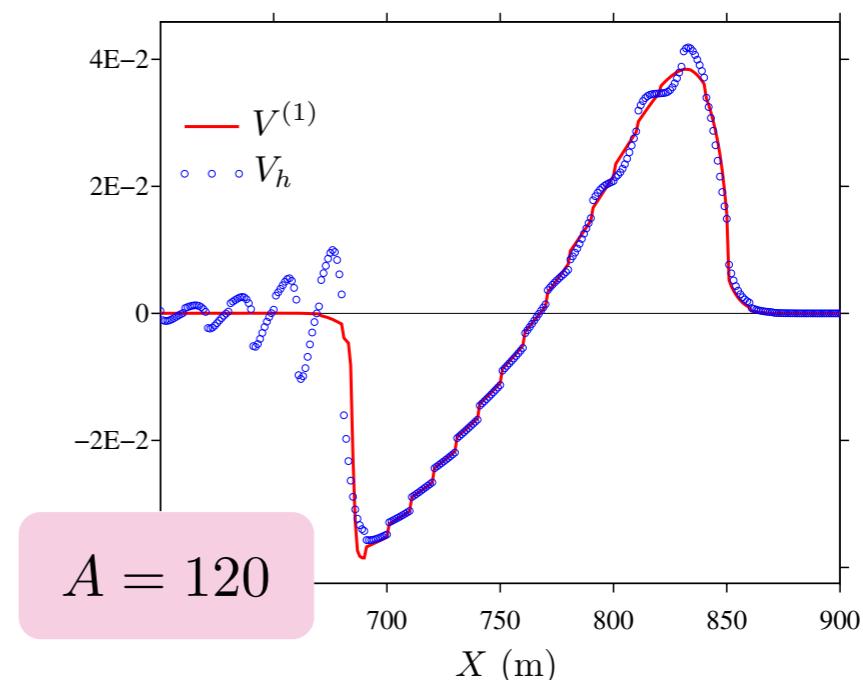
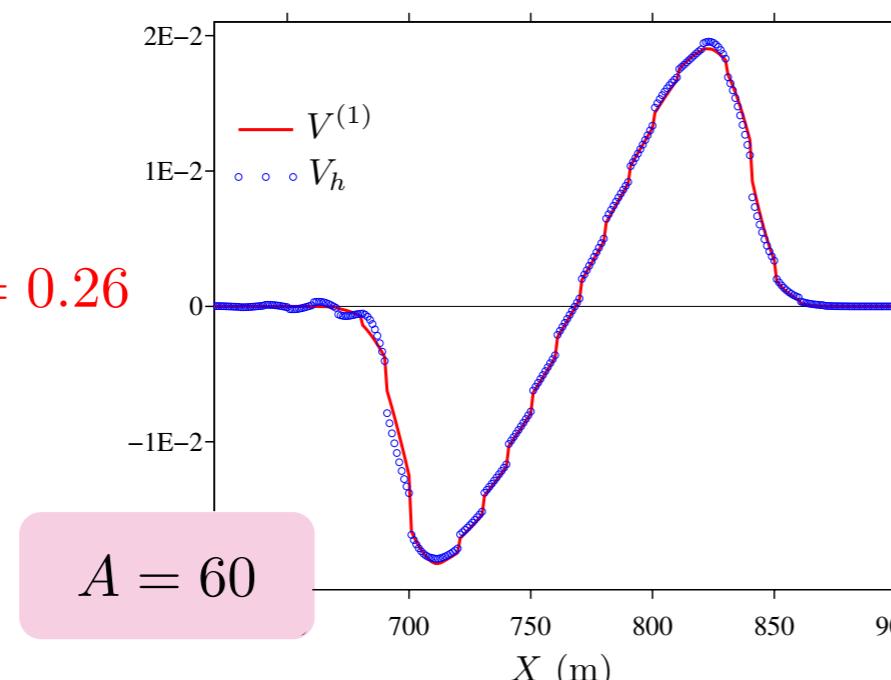
Numerical results: ... and limitations

→ Objectives: comparisons of full-field simulations (velocity-based) V_h with homogenized solutions, i.e. V_0 and $V^{(1)}(X, t) = V_0(X, t) + hV_1(X, t)$

- Excitation with fixed amplitude: $A = 40$



- Excitation with fixed frequency: $\eta = 0.26$

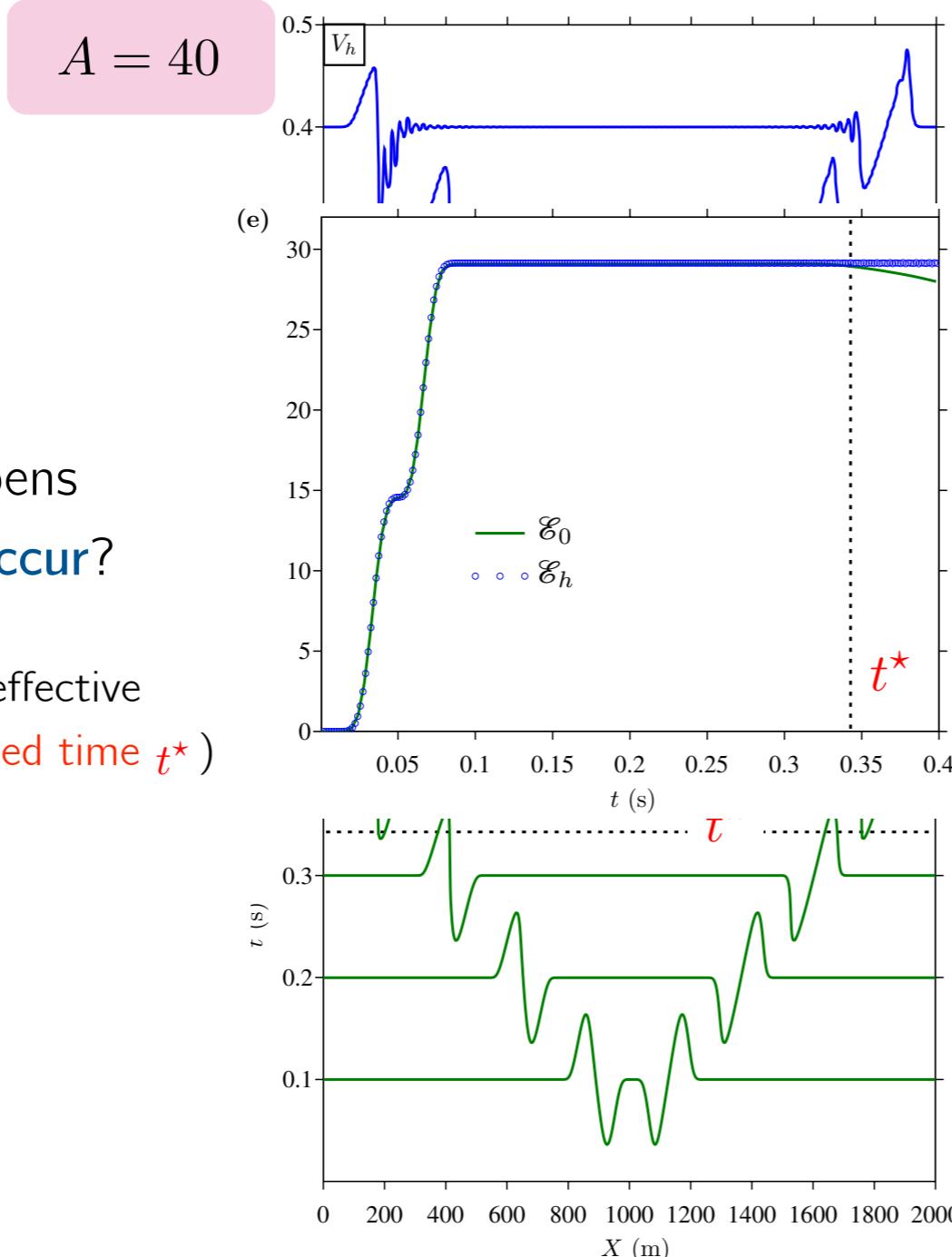


Numerical results: formation of shocks

→ Objectives: comparisons of full-field simulations (velocity-based) V_h with homogenized solutions, i.e. V_0 and $V^{(1)}(X, t) = V_0(X, t) + hV_1(X, t)$

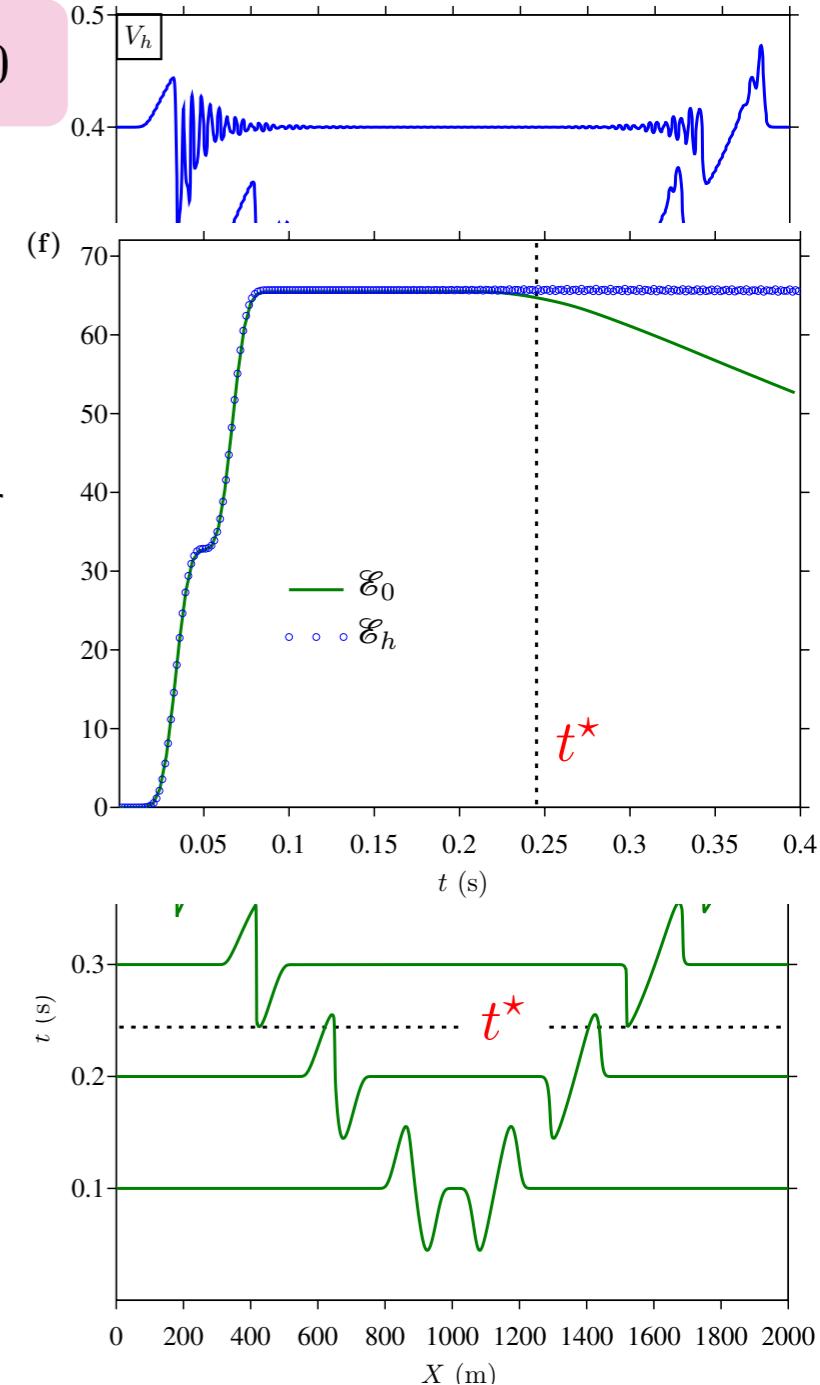
$A = 40$

► What happens if shocks occur?
(as expected with effective model with estimated time t^*)



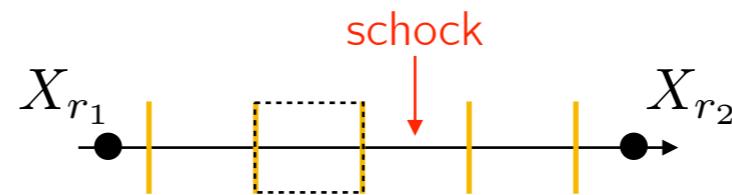
$A = 60$

in terms of energies



Numerical results: formation of shocks

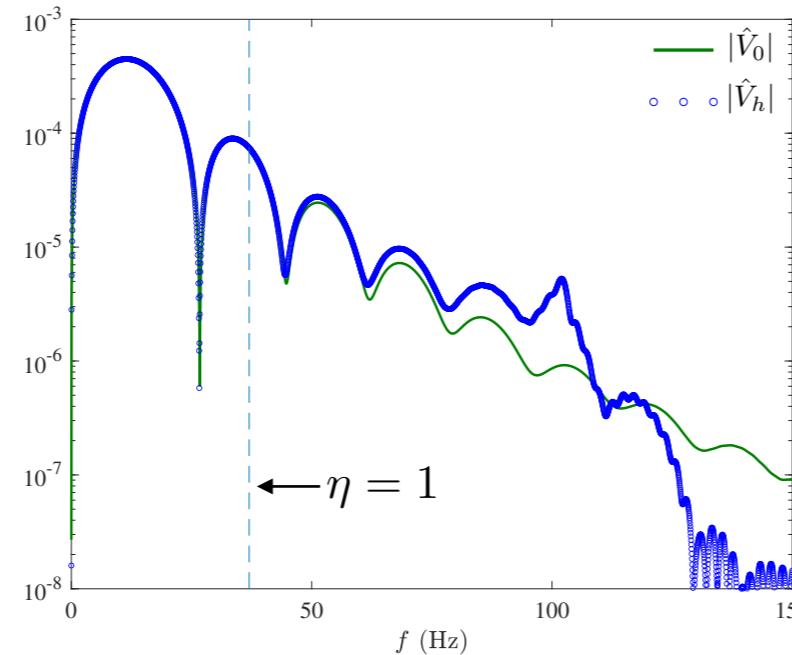
- What about the **accuracy of spectra?**



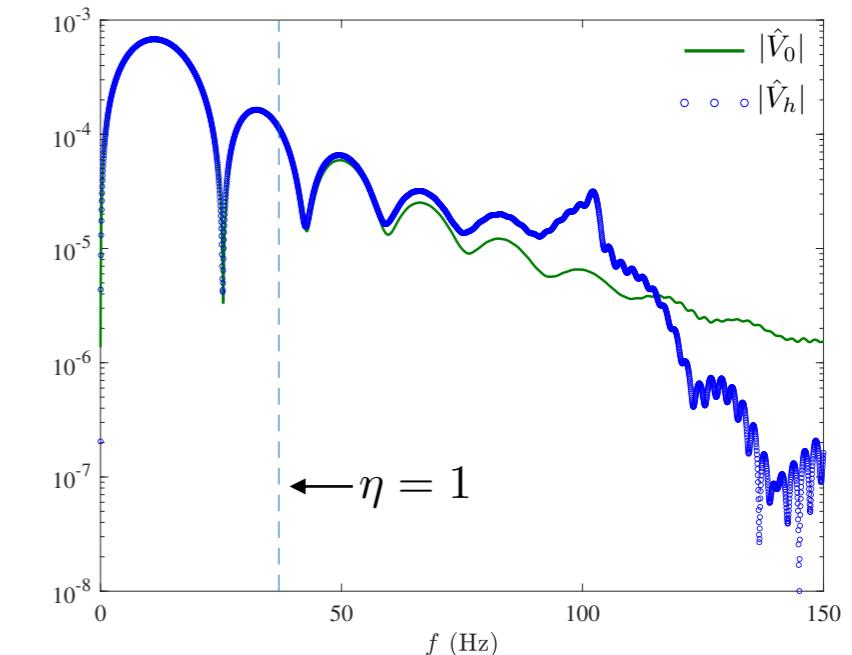
spectra
before the shock

X_{r_1}

$A = 40$

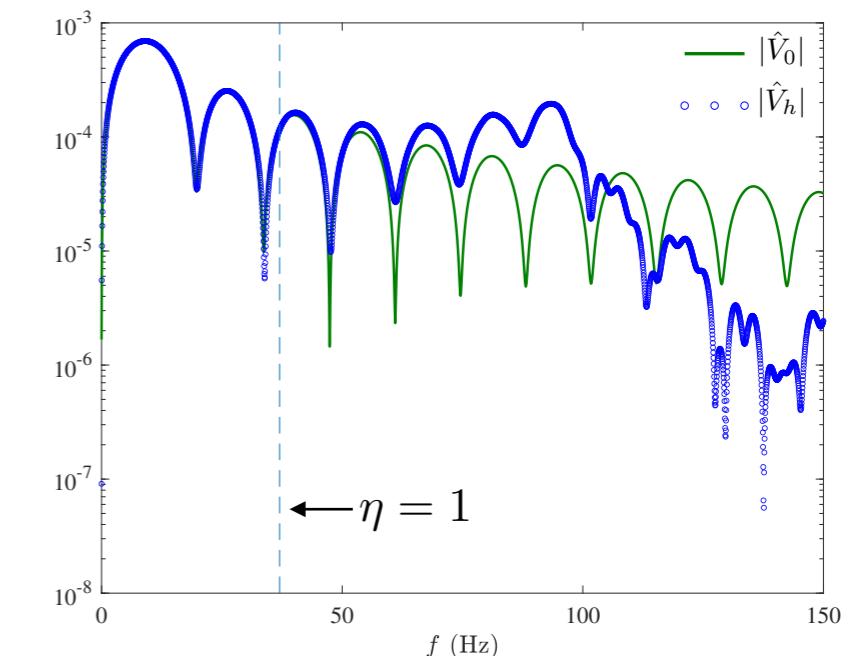
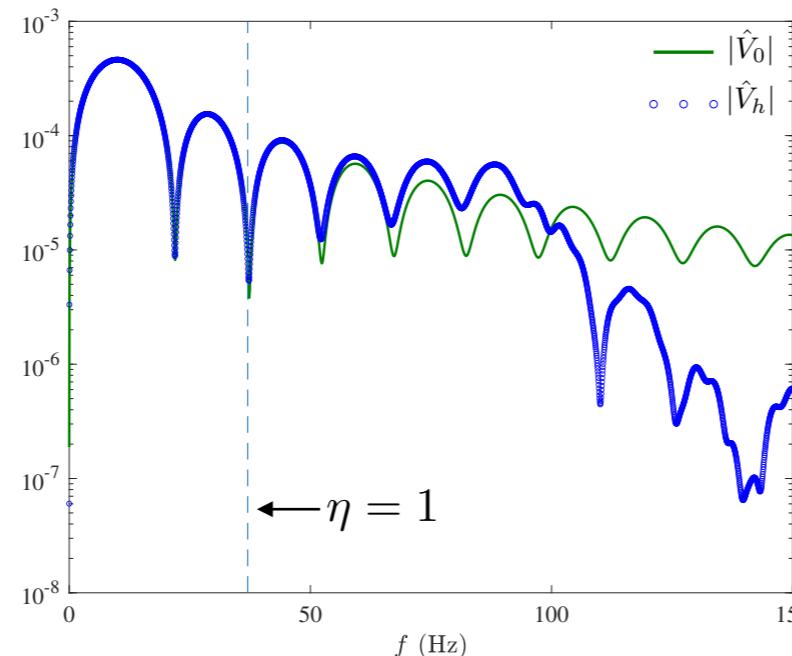


$A = 60$



spectra
after the shock

X_{r_2}



Conclusions and perspectives

- ▶ **1st-order approximate model** accurate for short times, with an estimated upper bound
- ▶ **Zeroth-order effective model is non-linear** hence does not prevent **shocks** to occur
- ▶ **Dispersive effects** develop with time and source amplitude **in the microstructure**
but they are **not captured in approximate model**

[CB, B. Lombard, M. Touboul, R. Assier, JMPS 2021]

- ▶ Derivation of a homogenized model accounting for **dispersive effects** (second-order model ?)
- ▶ Perform asymptotics for **larger source amplitudes**
- ▶ Handle **non-periodic** (random) arrays of interfaces
- ▶ Derivation of an effective model in **2D or 3D?**



Effective dynamics for elastic waves in an array of non-linear interfaces

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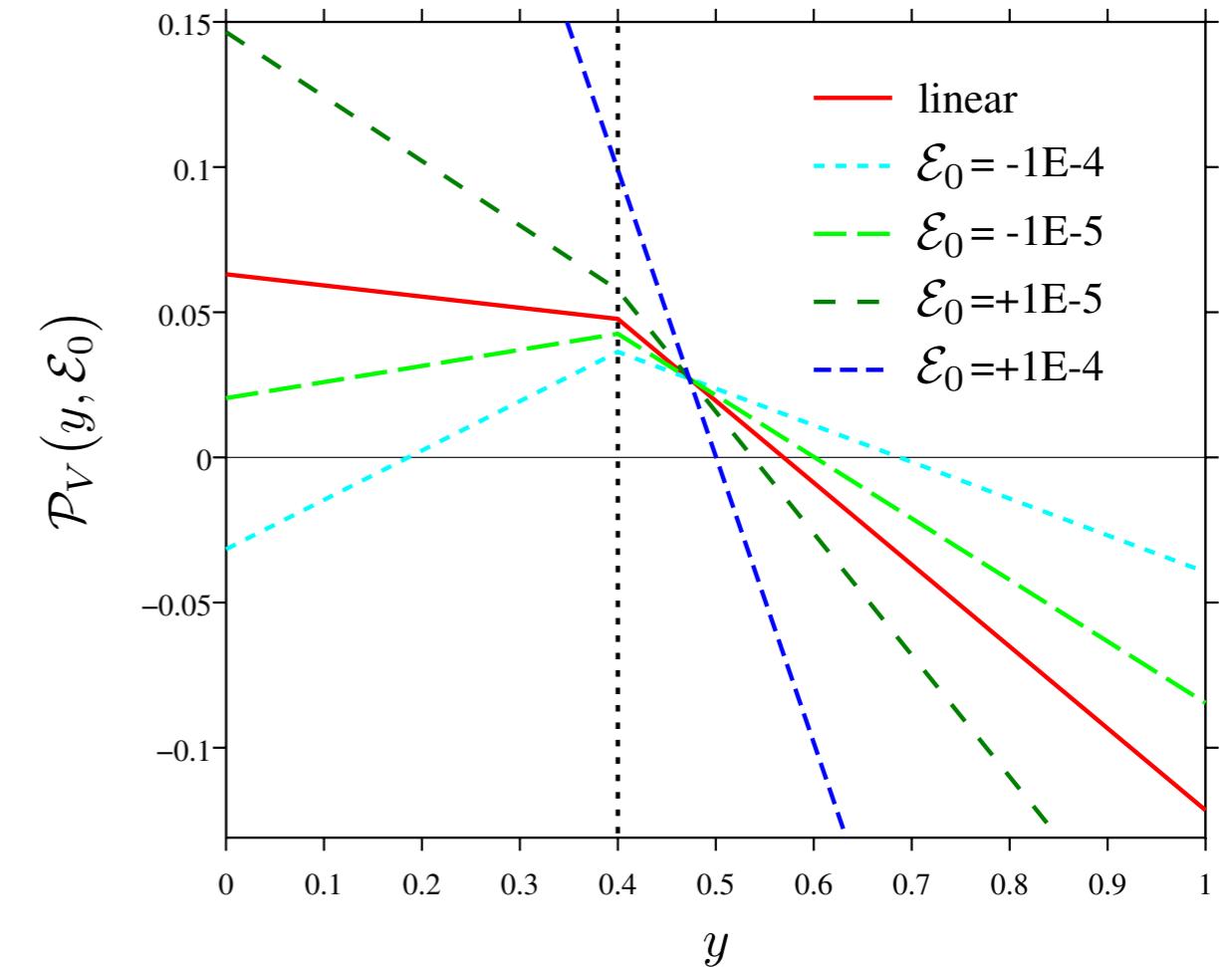
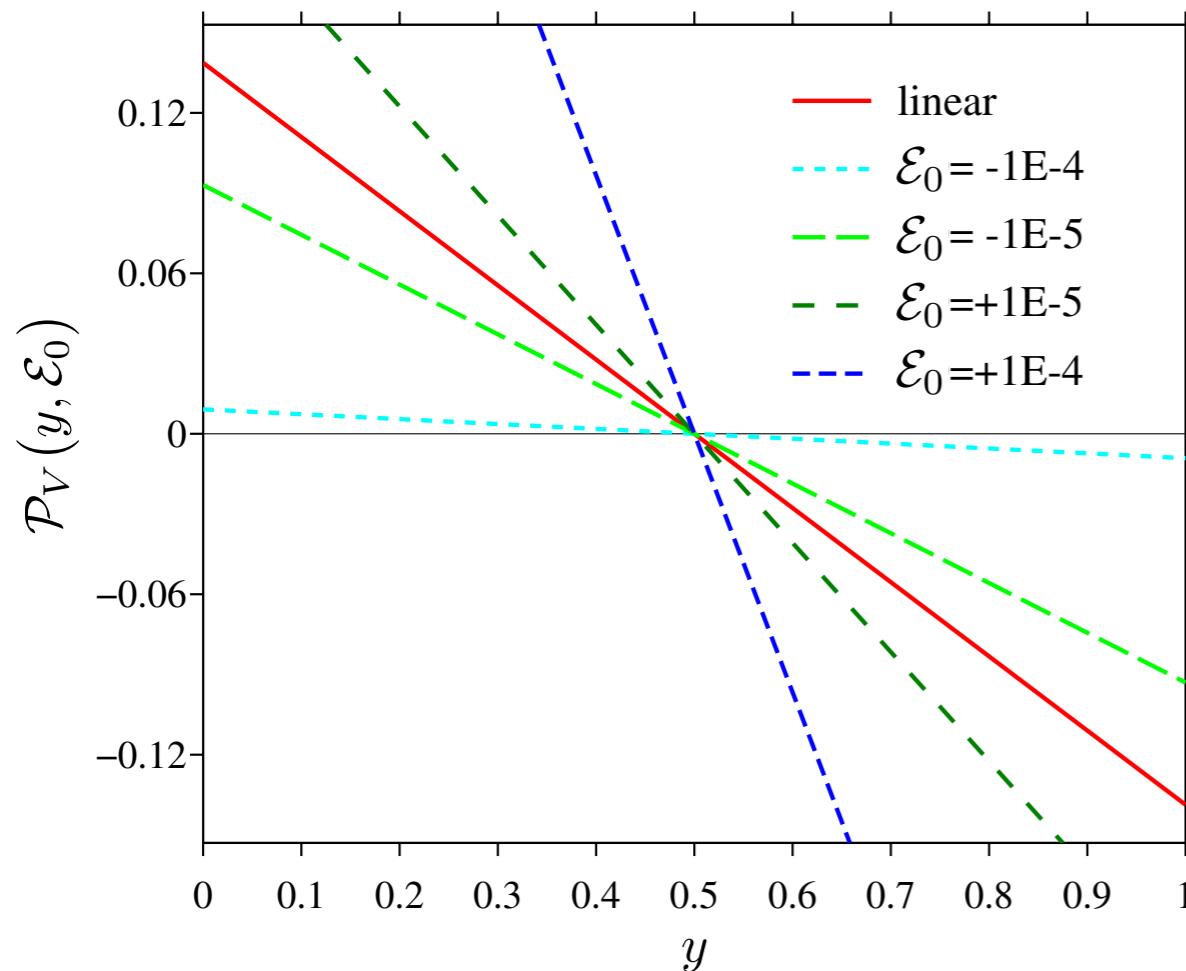
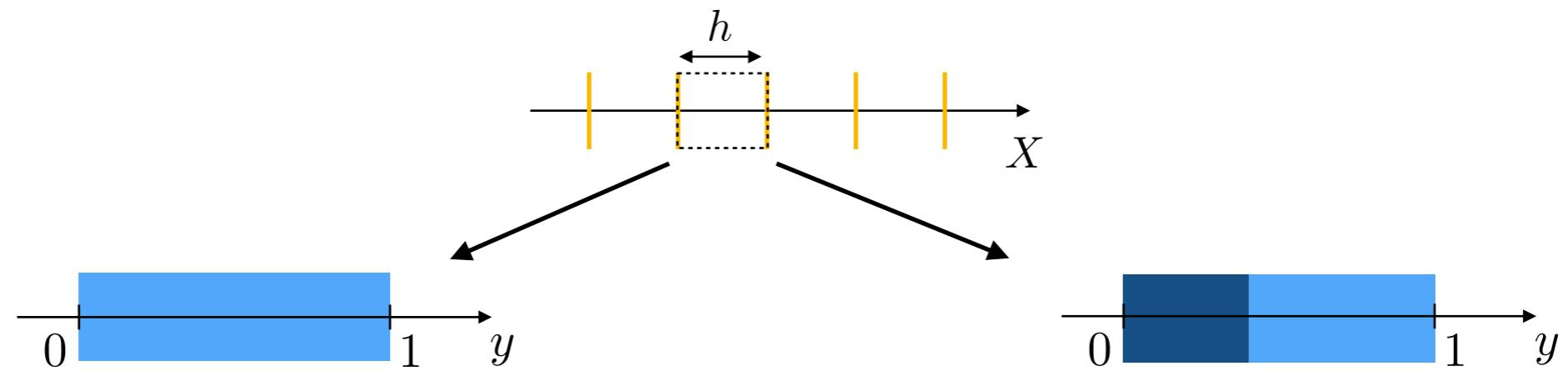
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Thank you for your attention

Cell functions

$$V^{(1)}(X, t) = \frac{\partial U^{(1)}}{\partial t}(X, t) = V_0(X, t) + h \bar{V}_1(X, t) + h \mathcal{P}_V(y, \mathcal{E}_0(X, t)) \frac{\partial V_0}{\partial X}(X, t)$$

$$\begin{matrix} / & \backslash \\ V_0 = \partial U_0 / \partial t & \bar{V}_1 = \partial \bar{U}_1 / \partial t \end{matrix}$$

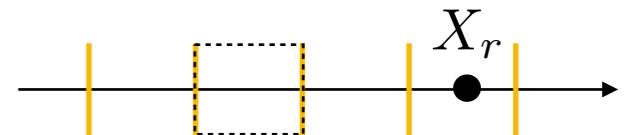


Numerical results: harmonics

→ Objectives: comparisons of full-field simulations (velocity-based) V_h with homogenized solutions, i.e. V_0 and $V^{(1)}(X, t) = V_0(X, t) + hV_1(X, t)$

► What about the accuracy of the harmonics?

monochromatic source ($f_c = 10 \text{ Hz}$) and spectrum of recorded signal



Amplitude of the normalized Fourier coefficients

