Mechanical properties of chains with bistable (two-state) units

Stefano Giordano

Institut d'Electronique, de Microélectronique et de Nanotechnologie

Université

UMR CNRS 8520

IEMN UMR CNRS 8520 Laboratoire International Associé LIA LICS/LEMAC Université de Lille, Centrale Lille Avenue Poincaré, BP 60069, 59652, Villeneuve d'Ascq, France



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Contents

- Introduction of the isometric or Helmholtz ensemble and the isotensional or Gibbs ensemble and their relation with real experiments.
- The equivalence of the ensembles in the thermodynamic limit (very long polymer chains): a theorem and some Monte-Carlo simulations.
 - The force-extension overstretching regime: the understanding of the plateau-like response and the saw-tooth like response.
 - The chain of bistable elements (domains) as a model to interpret the overstretching regimes observed.
- The spin variables method to study bistability and multistability in polymer systems.
 - Generalizations to finite stiffness and Ising interaction (cooperativity)
 - Perspective and conclusions

Statistical mechanics of (macromolecular) chains with bistable (two-state) units



 Elementary model for plasticity (with hysteresis and/or martensitic transformations)

(Ericksen, 1975; Müller and Villaggio, 1977; Fedelich and Zanzotto 1992; Puglisi and Truskinovsky, 2000...)

 Conformational transitions in macromolecules observed by force-spectroscopy methodologies

(Strick et al., 2003; Ritort, 2006...)



Polymer chain statistical mechanics

Free polymer: the first monomer is clamped while the others are free to fluctuate



$$h_0(\vec{r}_1,\ldots,\vec{r}_N,\vec{p}_1,\ldots,\vec{p}_N) = \sum_{i=1}^N \frac{\vec{p}_i \cdot \vec{p}_i}{2m} + \mathcal{U}(\vec{r}_1,\ldots,\vec{r}_N)$$

Hamiltonian of the system with arbitrary interactions (FJC, WLC...)

$$\rho(q, p) = \frac{1}{Z} e^{-\frac{h_0(q, p)}{k_B T}}$$

Probability density in the phase space (Boltzmann/Gibbs distribution), where Z is the classicla partition function

Stretching of the chain



Isotensional conditions (Gibbs ensemble)

Helmholtz ensemble: isometric conditions

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Gibbs ensemble: isotensional conditions

$$\widetilde{h}(\vec{r}_1,\ldots,\vec{r}_N,\vec{p}_1,\ldots,\vec{p}_N,\vec{f}) = h_0(\vec{r}_1,\ldots,\vec{r}_N,\vec{p}_1,\ldots,\vec{p}_N) - \vec{f}\cdot\vec{r}_N$$

Gibbs
Gibbs

$$\vec{r}_{3}$$

 \vec{r}_{i}
 $V(x)$
 $V(x)$

$$G(\vec{f}, T) = -k_B T \log Z_{\vec{f}}$$
Gibbs free
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Force-spectroscopy methods (Neuman and Nagy, 2008)



Equivalent Stiffness k_c

Soft cantilever \rightarrow Gibbs ensemble

Hard cantilever \rightarrow Helmholtz ensemble

Comparison between Helmholtz and Gibbs ensembles



If we compare the Helmholtz and Gibbs partition functions we have:

$$Z_{\vec{f}}(\vec{f},T) = (2\pi m k_B T)^{\frac{3}{2}} \int_{\Re^3} Z_{\vec{r}}(\vec{r},T) \mathrm{e}^{\frac{\vec{f}\cdot\vec{r}}{k_B T}} \mathrm{d}\vec{r} \qquad t$$

(Laplace transform)

Physica A 395, 2014, 154–170

Or, in terms of Gibbs and Helmholtz free energies:

$$e^{-\frac{G(\vec{f},T)}{k_BT}} = (2\pi m k_B T)^{\frac{3}{2}} \int_{\Re^3} e^{-\frac{F(\vec{r}',T)}{k_BT}} e^{\frac{\vec{f}\cdot\vec{r}'}{k_BT}} d\vec{r}'$$

Theorem (Physica A 395, 2014, 154–170)

For a polymer model described by a continuous pairing interaction between neighboring monomers (with convergent partition functions) we have $\phi = \psi^{-1}$ in the limit of N $\rightarrow \infty$ (equivalence between ensembles)

(It can be generalized to more general interactions: 3-body, WLC)

(Legendre transforms)

$$\begin{cases} G(\phi(\vec{r})) = F(\vec{r}) - \phi(\vec{r}) \cdot \vec{r} \\ F(\psi(\vec{f})) = G(\vec{f}) + \vec{f} \cdot \psi(\vec{f}) \end{cases}$$

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Comments

- We have proved the equivalence between Helmholtz and Gibbs ensembles in the thermodynamic limit for a chain with continuous pairing interactions (and more general schemes with 3-body potentials, WLC...);
- The convergence for high valus of *N* is described by a power law with specific exponents;
- The differences between the Helmholtz and Gibbs force-extension responses are however negligible for N>50/100 monomers (for FJC or WLC);
- Therefore, the differences are not relevant for long chains as DNA (during entropic and enthalpic stretchnig);
- Nevertheless, the analysis of different ensembles is useful for the understanding of experiments in the overstretching regime, where conformational transitions occur.



Overstretching experiments (COOPERATIVE RESPONSE)



Overstretching experiments (NON-COOPERATIVE RESPONSE)



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Chain with bistable elements

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Potential energy function with an energy barrier *M* and two minima separated by an energy difference ΔE . Folded and unfolded domain lengths are x_f and x_u , respectively.

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Pairing interaction potential:

$$Y(x) = \begin{cases} \frac{1}{2}k(x - x_f)^2 & 0 < x < x_1 \\ -\frac{1}{2}k(x - x_0)^2 + M & x_1 < x < x_2 \\ \frac{1}{2}k(x - x_u)^2 + \Delta E & x > x_2. \end{cases}$$

Parameters:

$$\delta = x_u - x_f$$

$$x_0 = \frac{x_u + x_f}{2} + 2\frac{\Delta E}{k\delta},$$

$$M = \frac{k}{4} \left[\frac{\delta}{2} + 2\frac{\Delta E}{k\delta}\right]^2,$$

$$x_1 = x_f + \frac{\delta}{4} + \frac{\Delta E}{k\delta},$$

$$x_2 = x_u - \frac{\delta}{4} + \frac{\Delta E}{k\delta}.$$

Gibbs ensemble for a chain with bistable elements

Analytical expression for the Gibbs partition function:

$$Z_{\vec{f}}(\vec{f},T) = \left(\frac{2\pi m}{\beta}\right)^{3N/2} \left(\frac{2\pi}{\beta \|\vec{f}\|}\right)^N \times \left[\Pi\left(\beta k,\beta \|\vec{f}\|,x_f,0,x_1\right) + e^{-\beta M} \Pi\left(-\beta k,\beta \|\vec{f}\|,x_0,x_1,x_2\right) + e^{-\beta \Delta E} \Pi\left(\beta k,\beta \|\vec{f}\|,x_u,x_2,+\infty\right)\right]^N$$
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where: $\Pi(\alpha, \gamma, x_0, a, b) = 2 \int_a^b x e^{-\frac{\alpha}{2}(x-x_0)^2} \sinh(\gamma x) dx$

$$\langle \vec{r}(\vec{f},T) \rangle = k_B T \frac{\partial}{\partial \vec{f}} \log Z_{\vec{f}} = -\frac{\partial G(\vec{f},T)}{\partial \vec{f}}$$

- The force plateau appears for $f=\Delta E/\delta$, where $\delta=x_u-x_f$.
- Unfortunaltly, the analytical approach cannot be used with the Helmholtz ensemble→Montecarlo



Ensemble comparisons with bistable elements



Overstretching regime, intermediate cases: not Gibbs nor Helmholtz





Spin variables approach for multistable systems

We introduce a quadratic curve approximating each potential well of the multi-basin energy landscape of a unit of the chain.



Calculation of the partition function in the Gibbs ensemble

$$Z_G = \sum_{s_1 \in \{0,1\}} \dots \sum_{s_N \in \{0,1\}} \int_{\Re^{3N}} \int_{\Re^{3N}} e^{-\frac{H(\vec{p},\vec{q},\vec{s})}{k_B T}} d\vec{p} d\vec{q}$$

or, equivalently, by considering the complete expression for the Hamiltonian:

$$Z_G = const. \times \left\{ \sum_{s \in \{0,1\}} \int_{\Re^3} \exp\left[-\frac{v(s)}{k_B T} - \frac{k(s)}{2k_B T} \left[\|\vec{\xi}\| - \ell(s) \right]^2 + \frac{\vec{f} \cdot \vec{\xi}}{k_B T} \right] d\vec{\xi} \right\}^N$$

Because of the isotropy of the spring-like interactions, we have:

$$\vec{f} = (0, 0, f), \quad d\vec{\xi} = \xi^2 \sin \vartheta d\xi d\varphi d\vartheta$$
$$\|\vec{\xi}\| = \xi, \quad \vec{f} \cdot \vec{\xi} = f\xi \cos \vartheta$$

λT

$$Z_G = const. \times \left\{ \sum_{s \in \{0,1\}} e^{-\frac{v(s)}{k_B T}} \int_0^\infty \exp\left[-\frac{k(s)}{2k_B T} \left[\xi - \ell(s)\right]^2\right] \frac{\sinh\left(\frac{f\xi}{k_B T}\right)}{\frac{f\xi}{k_B T}} \xi^2 d\xi \right\}^N$$

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We define χ as the ratio between the unfolded and folded length of each domain and we consider each spring characterized by the stiffness *K*. Moreover, the energy difference between the stable states is ΔE .

$$s = 0 \Rightarrow v(0) = 0, \ \ell(0) = \ell, \ k(0) = K$$

$$s = 1 \Rightarrow v(1) = \Delta E, \ \ell(1) = \chi \ell, \ k(1) = K$$

$$Z_G = const. \times \left\{ \int_0^\infty \exp\left[-\frac{K}{2k_B T} \left[\xi - \ell\right]^2\right] \frac{\sinh\left(\frac{f\xi}{k_B T}\right)}{\frac{f\xi}{k_B T}} \xi^2 d\xi \right\}$$

$$+e^{-\frac{\Delta E}{k_B T}} \int_0^\infty \exp\left[-\frac{K}{2k_B T} \left[\xi - \chi \ell\right]^2\right] \frac{\sinh\left(\frac{f\xi}{k_B T}\right)}{\frac{f\xi}{k_B T}} \xi^2 d\xi \right\}$$

Bistable freely jointed chain under Gibbs conditions

In order to define a bistable freely jointed chain we assume that $K \rightarrow \infty$ (it means that the domain lenght is ℓ or $\chi \ell$) and by using the Delta representation

$$\sqrt{\frac{\alpha}{\pi}} e^{-\alpha x^2} \underset{\alpha \to \infty}{\longrightarrow} \delta(x)$$

we obtain:

$$Z_G = const. \times \left\{ \frac{\sinh y}{y} + \chi e^{-\frac{\Delta E}{k_B T}} \frac{\sinh \chi y}{y} \right\}^N \quad (BFJC)$$

which is a direct generalization of classical freely jointed chain partition function

$$Z_G = const. \times \left\{\frac{\sinh y}{y}\right\}^N$$
 (FJ

$$y = \frac{\ell f}{k_B T}$$





If we look at the obtained results:

$$\langle r \rangle = N \ell \frac{\mathcal{L}(y) + \chi^2 e^{-\frac{\Delta E}{k_B T}} \mathcal{L}(\chi y) \frac{\sinh \chi y}{\sinh y}}{1 + \chi e^{-\frac{\Delta E}{k_B T}} \frac{\sinh \chi y}{\sinh y}}$$
$$\langle s \rangle = \frac{\chi e^{-\frac{\Delta E}{k_B T}} \frac{\sinh \chi y}{\sinh y}}{1 + \chi e^{-\frac{\Delta E}{k_B T}} \frac{\sinh \chi y}{\sinh y}}$$

we can write:

$$\langle r \rangle = N \left[\left(1 - \langle s \rangle \right) \ell \mathcal{L}(y) + \langle s \rangle \chi \ell \mathcal{L}(\chi y) \right]$$

which is a linear combination of $N\ell L(y)$ and $N\chi\ell L(\chi y)$ (two freely jointed chain models), weighted by the average value of the spin variable.



Bistable freely jointed chain under Helmholtz conditions

The three-dimensional Laplace transform between the Gibbs and Helmholtz partition functions can be written in scalar form for radially symmetric functions:

$$Z_H(r) = \int_{-\infty}^{+\infty} Z_G(i\eta) \frac{\eta}{r} \sin \frac{\eta r}{k_B T} d\eta$$
(BFJC)
$$Z_H(r) = \int_{-\infty}^{+\infty} \left\{ \frac{\sin y}{y} + \chi \phi \frac{\sin \chi y}{y} \right\}^N \frac{y}{r} \sin \frac{ry}{\ell} dy$$

which is a direct generalization of the classical Rayleigh-Polya integral describing the freely jointed chain partition function:

$$Z_{H}(r) = \int_{-\infty}^{+\infty} \left\{ \frac{\sin y}{y} \right\}^{N} \frac{y}{r} \sin \frac{ry}{\ell} dy$$
(FJC)

Calculation of the partition function in the Helmholtz ensemble

The analysis of the integral through the complex variables method allows the exact calculation in the following form:

$$Z_H(r) = \frac{\pi}{r2^{(N-1)}(N-2)!} \sum_{k=0}^{N} \sum_{p=0}^{N-k} \sum_{q=0}^{k} \binom{N}{k} \binom{N-k}{p} \binom{k}{q} (-1)^{p+q} \alpha^k (-\Lambda)^{N-2} \mathbf{1}(\Lambda)$$

where:

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$$\alpha = \chi e^{-\frac{\Delta E}{k_B T}} \quad \text{and} \quad \Lambda = k - N + 2p - \chi k + 2\chi q - \frac{r}{\ell}$$

and we can determine the average values of force and spin

$$\langle f \rangle = -k_B T \frac{\partial \log Z_H}{\partial r}$$

$$\langle s \rangle = \frac{1}{N} \left\langle \sum_{i=1}^{N} s_i \right\rangle = -k_B T \frac{\partial \log Z_H}{N \partial \Delta E}$$



Equivalence of the ensembles in the thermodynamic limits



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Critical behavior



Two-state freely jointed chains with extensible units





The interactions among the units

Ising potential energy (s_i =-1,+1; λ >0 ou λ <0):

$$U_{tot} = -\lambda \sum_{i=1}^{N-1} s_i s_{i+1} - \vec{f} \cdot \vec{r}_N + \sum_{i=1}^{N} \left\{ v(s_i) + \frac{1}{2} k(s_i) \left[\left\| \vec{r}_i - \vec{r}_{i-1} \right\| - \ell(s_i) \right]^2 \right\}$$

(coupling betweeen elasticity and units folded-unfolded states)





Force-extension curves

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Average number of unfolded units

(PRE submitted)



(PRE submitted)

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Perspective: heterogeneity of the chain



Without heterogeneity

- ✓ Same unfolding probability for the units;
- \checkmark We cannot have an unfolding pathway.



Perspective: heterogeneity of the chain



(Immunoglobuline, PNAS 97, 6521, 2000)





Perspective: unfolding dynamics

Exploiting the spin variable approach to





Conclusion

- The rescaling (multiscale) methods for the nanomechanics of macromolecules strongly depend on the applied boundary conditions (imposed force or prescribed extension);
- This is important for the thermodynamic of small systems, which are far from the thermodynamic limit (small number of unit in the chains);
- The spin variable approach allows the analysis of the system within both the Gibbs and Helmholtz ensembles, giving results in qualitative agreement with experiments;
- Moreover, it can be adopted to develop several generalization concerning finite stiffness and Ising interactions (already implemented) or heterogeneity and dynamics (work on progress);
- Interactions and heterogeneity will help the understanding of cooperativity and folding pathways in complex macromolecules (such as proteins).



THANK YOU FOR YOUR ATTENTION !

Main publications:

JCP 137, 244907 (2012) JCP 136, 154906 (2012) PRE 87, 032705 (2013) Phys. A: Stat. Mech. Appl. 395, 154 (2014) PRL 113, 255501 (2014) EPJE 38, 44 (2015) Eur. J. Mech. - A/Sol. 60, 145 (2016) Annalen der Physik 528, 381 (2016) Microsystems & Nanoengineering 2, 16062 (2016) Soft Matter 13, 6877-6893 (2017) Continuum Mechanics and Thermodynamics 30, 459 (2018)

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